

**The University of Hong Kong  
School of Professional and Continuing Education**

**Certificate in Investment**  
投資分析證書

**Introduction**

The programme aims to provide students with the principles of securities analysis and develop a basic framework for making personal investment decisions. Topics include fundamental analysis, technical analysis, value investing and portfolio building. Current global investment opportunities are examined from practical views.

Holders of the HKU SPACE Certificate in Investment may be eligible to apply to study the part-time *Advanced Diploma in Finance or Advanced Diploma in Investment\** programme offered by HKU SPACE.

\* Subject to approval

**Award**

This programme leads to a Certificate in Investment awarded by HKU SPACE.

**Programme Structure**

The programme offers the following 3 courses to be studied over 4 months:

- 1. Fundamentals of Securities Analysis**
- 2. Applied Technical Analysis**
- 3. Introduction to Mathematics for Finance**

## **Course Outline**

### **Fundamentals of Securities Analysis**

The course provides the principles of securities analysis and offers a basic framework for making investment decision. Areas covered include sources of financial data, fundamental analysis, macroeconomic analysis, industry analysis, financial statement analysis, and risk and return analysis. Current investment environment and trends are examined from practical perspectives.

### **Applied Technical Analysis**

The course aims to offer an introduction to the use of technical analysis. Major topics include trends and trendlines, ratio analysis (Fibonacci), types of charts, reversal and continuation patterns, candlestick analysis, application of moving averages and oscillators and Elliott wave analysis. Students can apply these technical analysis techniques with particular trading software.

### **Introduction to Mathematics for Finance**

The course introduces the relevant mathematical tools to students to allow them to apply these tools to financial analysis. Topics include function, graph, descriptive statistics, probability, probability theory, the time value of money, and present and future values applications.

## **Entry Requirements**

Applicants should have 5 passes in the HKCEE or equivalent, including English and Mathematics, plus a continuous 2 years of relevant working experience.

Applicants who are aged 23 and above with at least 4 years of relevant working experience are also eligible to apply. However, they will be required to show a satisfactory level of competence in English prior to offering a place of study.

## **Assessment**

Students' performance in each course shall be assessed both by continuous course work assignments and a final examination. For each course, the examinations and course work respectively count for 60% and 40% of the students' final grade.

## Teaching Mode

Each of the 3 courses will be taught by 3-hour face-to-face lectures over 11 weeks. All the lectures will be scheduled on weekday evenings or weekend.

Medium of Instruction: Cantonese supplemented by English

## Fee

HK\$8,200 (for the whole programme)

Application Fee: \$150 (non-refundable)

## Continuing Education Fund (CEF)

This programme has been included in the list of reimbursable courses for CEF purposes. Eligible applicants will be reimbursed 80% of their tuition fees, subject to a maximum sum of \$10,000, on successful completion of the programme.

CEF enquiries: Tel: 3142 2277

[www.info.gov.hk/sfaa/cef](http://www.info.gov.hk/sfaa/cef)

## Application Procedures

1. There will be 3 intakes of students a year in February, June and October.
2. All applicants are required to complete the enclosed **APPLICATION** and **ENROLMENT** forms and either submit them in person or post them to Ms. Camy Chu, Division of Finance, HKU SPACE, at any of the following offices:
  - i) HKU SPACE Town Centre, 3/F, Admiralty Centre, 18 Harcourt Road, Admiralty, Hong Kong.  
(Weekdays: 8:30 a.m. to 7:30 p.m., Saturdays: 8:30 a.m. to 5:30 p.m.)  
Telephone: 2559 7628 (Fax: 2559 4666)
  - ii) HKU SPACE, 3/F, T.T. Tsui Building  
The University of Hong Kong, Pokfulam Road, Hong Kong.  
(Weekdays: 8:30 a.m. to 6:00 p.m., Saturdays: 8:30 a.m. to 12:30 p.m.)  
Telephone: 2975 5680 (Fax: 2546 3538)
  - iii) HKU SPACE, North Point Study Centre, 14/F, Fortress Tower,  
250 King's Road, North Point, Hong Kong.  
(Weekdays: 8:30 a.m. to 7:30 p.m., Saturdays: 8:30 a.m. to 5:30 p.m.)  
Telephone: 2570 9266 (Fax: 2508 9349)
  - iv) HKU SPACE, Tsim Sha Tsui Learning Centre, UG/F and 1/F, Austin Tower,  
22 Austin Avenue, Kowloon.  
(Weekdays: 8:30 a.m. to 7:30 p.m., Saturdays: 8:30 a.m. to 5:30 p.m.)  
Telephone: 2376 6700 (Fax: 2302 1609)

- v) HKU SPACE Wanchai Learning Centre, 2/F & 3/F, Causeway Centre, 28 Harbour Road, Wanchai, Hong Kong  
(Weekdays: 8:30 a.m. to 7:30 p.m., Saturdays: 8:30 a.m. to 5:30 p.m.)  
Telephone: 3416 6388 (Fax: 2824 3025)
- vi) HKU SPACE North Point Learning Centre, 494 King's Road, North Point, Hong Kong.  
(Weekdays: 8:30 a.m. to 7:30 p.m., Saturdays: 8:30 a.m. to 5:30 p.m.)  
Telephone: 2809 9888 (Fax: 2214 9493)

3. All applications, either by post or in person, must be accompanied by:

- i) photostat copies of full educational certificates and transcripts;
- ii) a crossed cheque / bank draft payable to 'HKU SPACE' for HK\$8,200 in respect of the course fee.
- iii) a separate non-refundable crossed cheque / bank draft payable to 'HKU SPACE' for HK\$150 in respect of the application fee.

*\* Photocopies of supporting documents submitted in person at these centres will be certified by HKU SPACE staff free of charge. Applicants who do not apply in person will be asked to bring the originals of these documents for certification at our office at a later date.*

## Closing Dates for application

February 2006 intake: 18 January 2006 (Wednesday)  
 June 2006 intake: 18 May 2006 (Thursday)  
 October 2006 intake: 20 September 2006 (Wednesday)

## Teaching Schedule:

	<b>February Intake</b>	<b>June Intake</b>	<b>October Intake</b>
<b>Teaching period</b>	February – May	June – September	October – January
<b>Examination period</b>	May	September	January

## Enquiries:

Telephone No.: 2867 8312

Fax: No: 2861 0278

E-mail: [camy@hkuspace.hku.hk](mailto:camy@hkuspace.hku.hk)

***HKU SPACE Programmes in  
FINANCIAL STUDIES***

**HKU SPACE  
Certificate in Investment  
(Part-time - 3 courses)**



**HKU SPACE  
Advanced Diploma in Finance  
OR  
Advanced Diploma in Investment\*  
(Part-time – 16 months study)**



**Napier University, Edinburgh, Scotland  
BA (Hons) Financial Services  
(Part-time – 2-year top-up programme)**

\* subject to approval